



*Like hiking? You probably enjoy nature:*  
Persona-grounded Dialog with  
Commonsense Expansions

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# Persona-grounded Dialog Generation

## Persona:

I am an animal activist.  
I spend my time bird watching with my cats.  
I have rainbow hair.

---

What motivates you to work with animals?



Ours



I want to protect animals to make a difference in this world.

Imagine a conversational bot with a **persona**

Given a persona and a dialog history, we have to predict the next response

It is possible that some responses are based on given persona, some are purely based on dialog history

## PersonaChat:

10,907 dialogs between pairs of speakers where each speaker follows their own persona

# A persona means more than what you see

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A persona consists of 3-5 sentences

A persona is a collection of **real world events** mimicking a user's preference in a real world

# A persona means more than what you see

## Persona:

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A persona consists of 3-5 sentences

A persona is a collection of **real world events** mimicking a user's preference in a real world

Often these persona **means more** than their surface forms

# Previous works fails to address implicit context

**Random personality** [Shuffle](#) [Share](#)

My favorite place to go is the mall.  
I' m single and go on dates regularly.  
I' ve a pug named corky.  
I enjoy playing tennis.

**Start chatting**

The machine learning model created a consistent persona based on these few lines of bio. You can now chat with this persona below.

How is your romantic life?

i am just a normal girl. i love to play tennis.

Model fails to correlate 'romantic life' with being 'single'

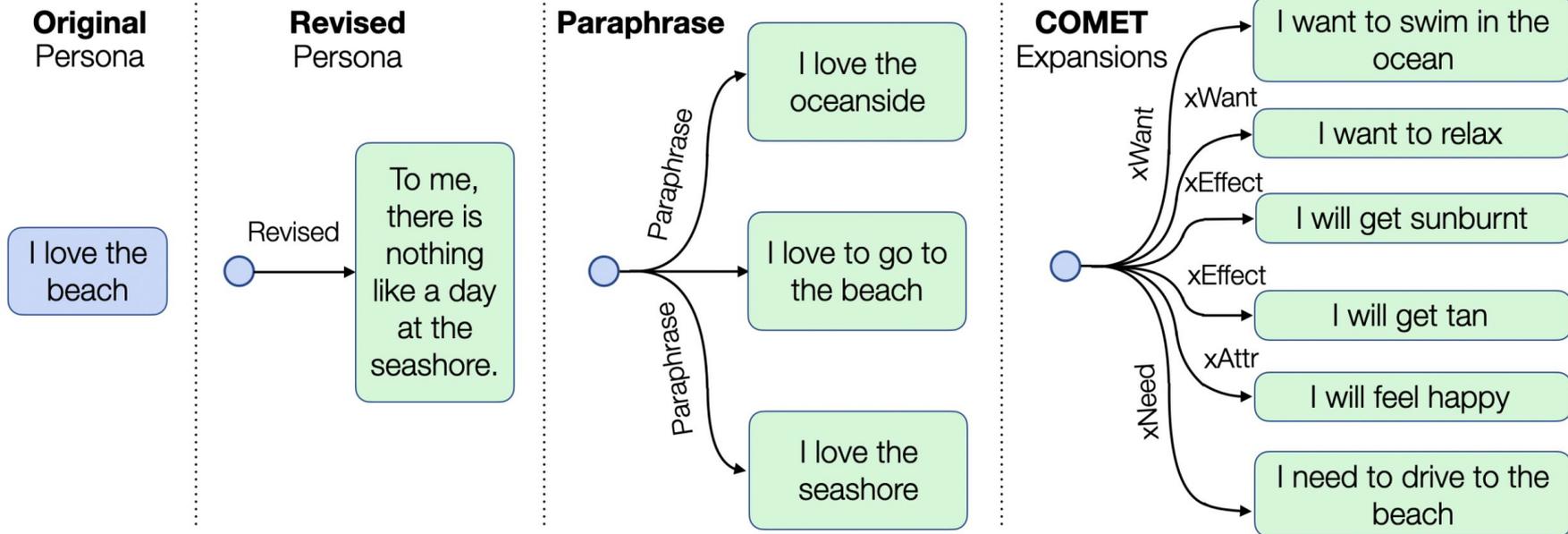
## Main issues in previous works

- Previous models had to **implicitly learn** possible implications/entailments of given persona
  - difficult to learn; especially some sparsely occurring patterns
- Previous models **cram entire persona** into a Transformer
  - lack of controllability or interpretability

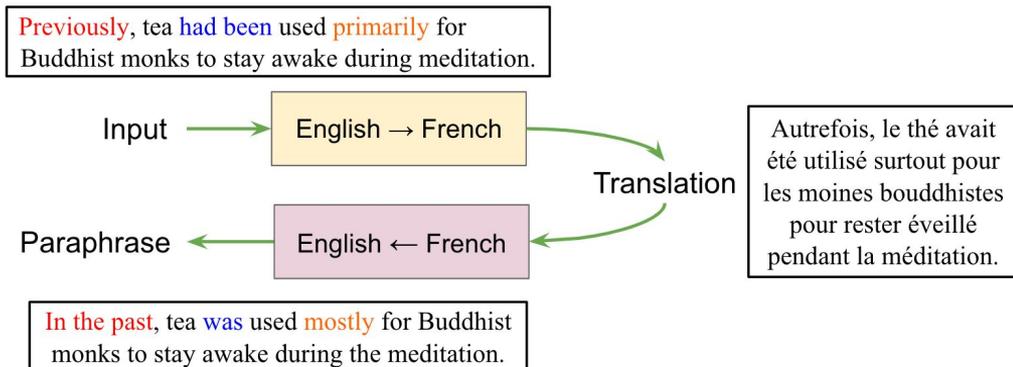
# Our goals

- Can we leverage **external commonsense knowledge** bases to expand the given persona with related concepts and implications?
- Can we achieve better **interpretability** on model outputs using fine-grained persona selection?
- Can we impart better **controllability** with respect to provided persona?

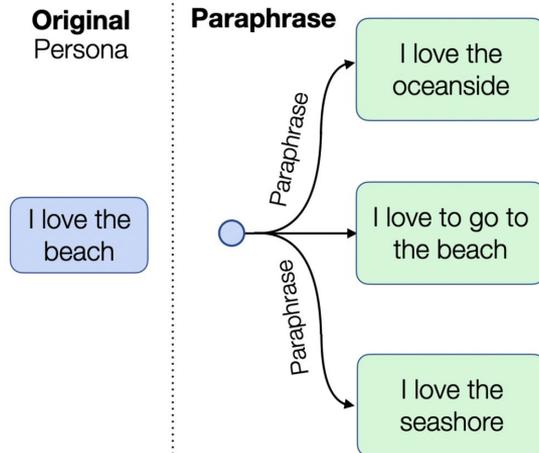
# Commonsense Expansions



# Paraphrase



Paraphrasing using back-translation



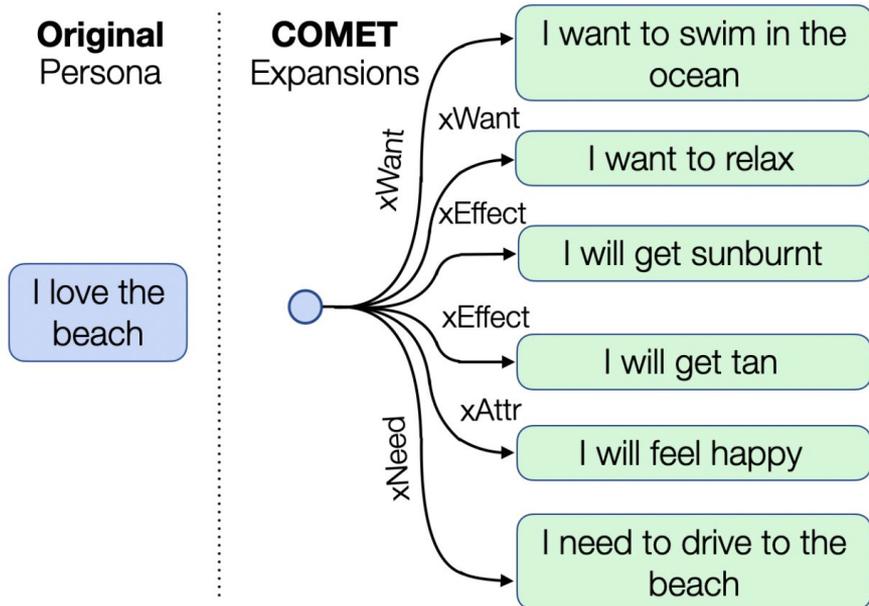
Paraphrases use synonymous phrases or manipulate word-syntax of the original sentence, which implicitly involves both **context comprehension** and **world knowledge**

# COMET

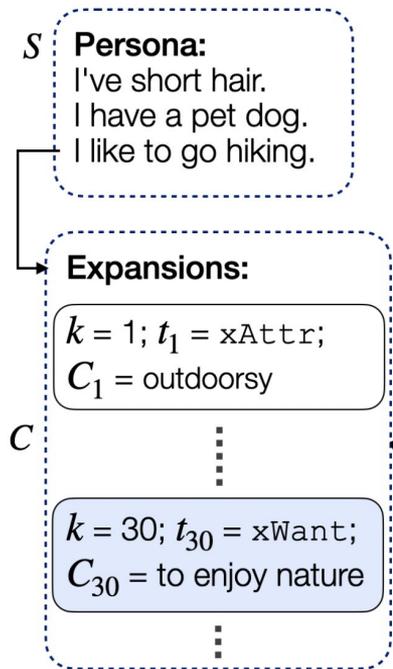
## Commonsense Transformers (**COMET**)

Trained on ATOMIC - an inferential commonsense KB of real-world events

Each persona sentence revealed 5 plausible expansions for 9 causal effects (i.e. in total 45)

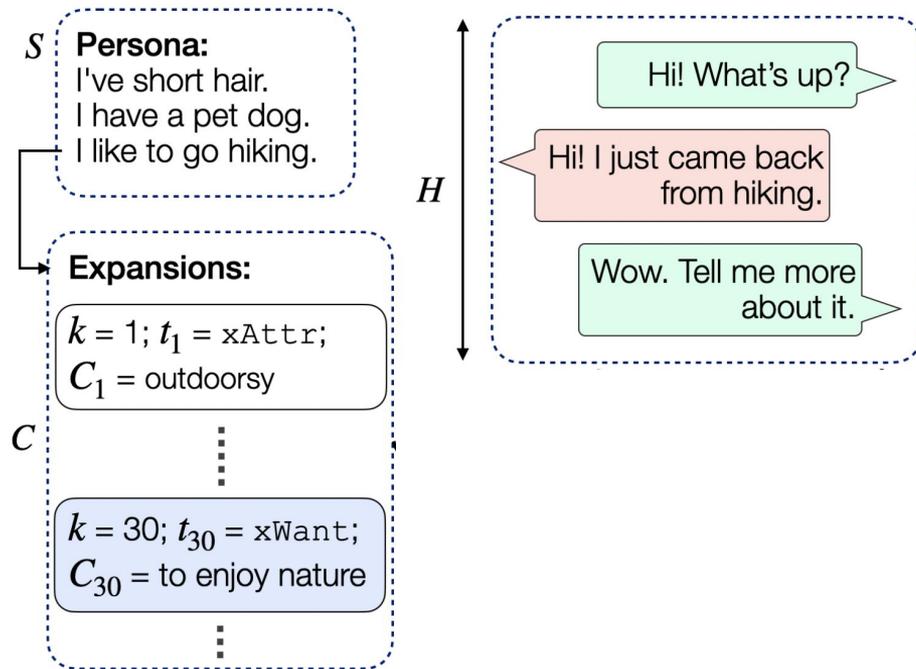


# Commonsense and Persona Aligned Chatbot (COMPAC)



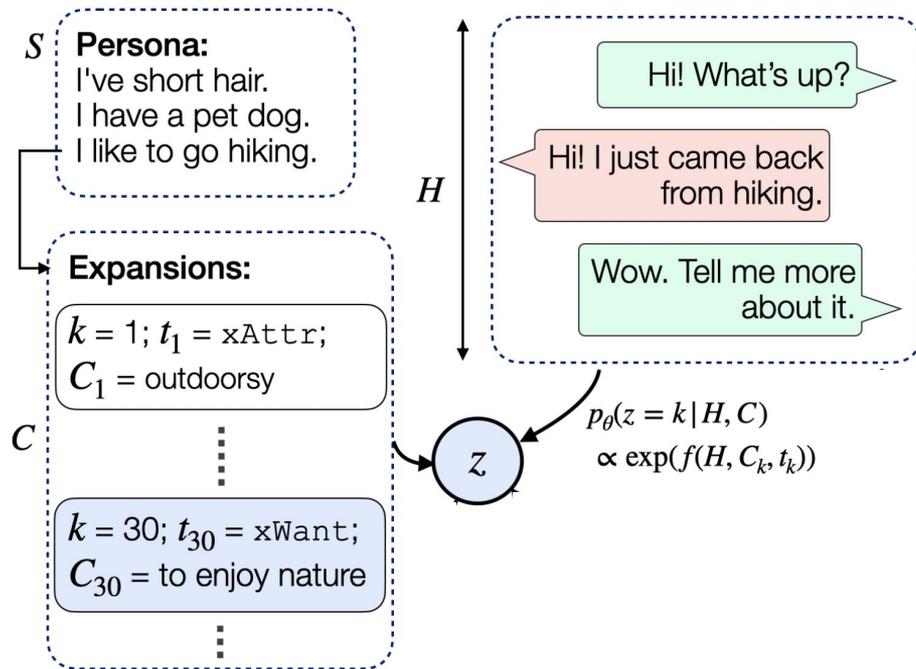
- **Expand** all persona using commonsense

# Commonsense and Persona Aligned Chatbot (COMPAC)



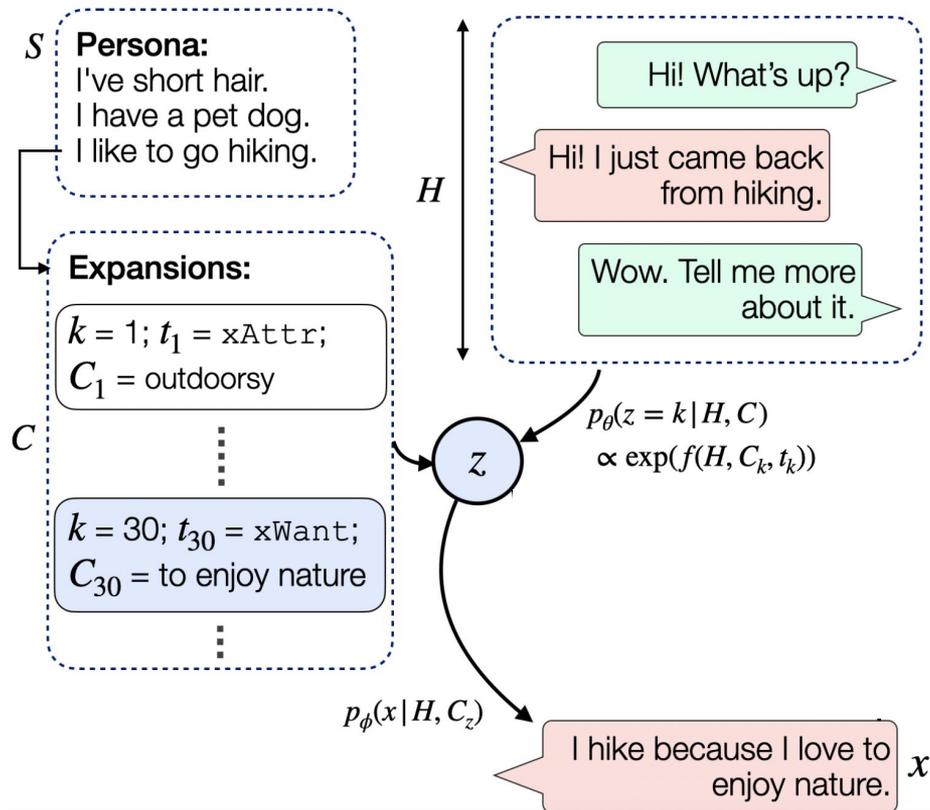
- Expand all persona using commonsense
- A **dialog history**,  $H$ , is observed

# Commonsense and Persona Aligned Chatbot (COMPAC)



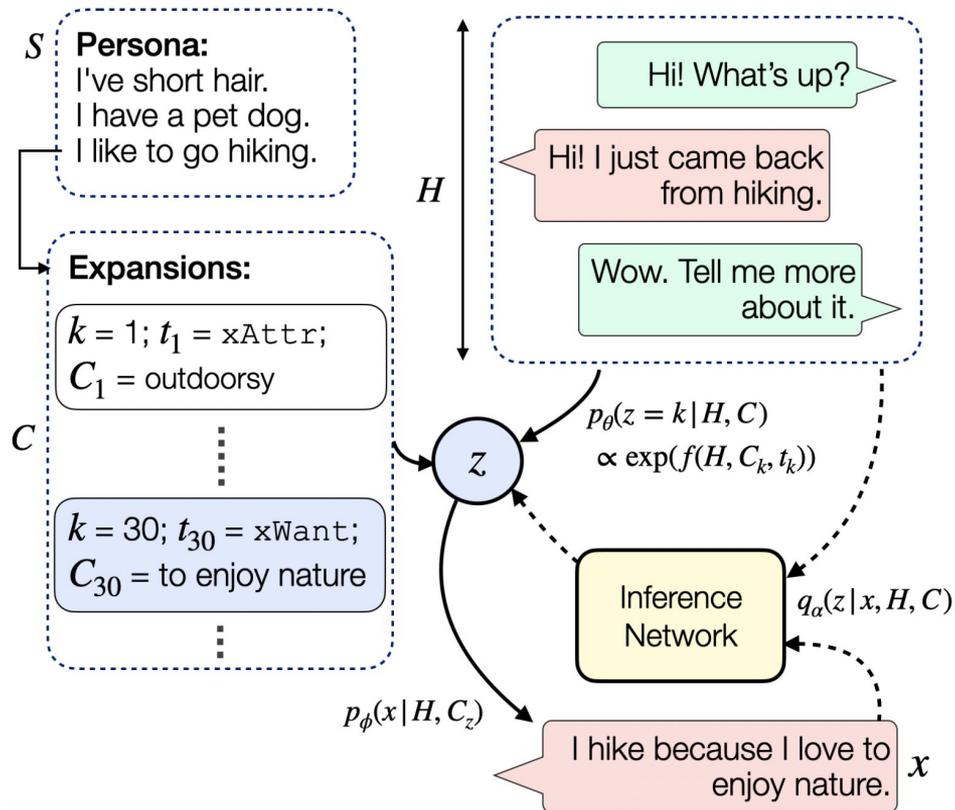
- Expand all persona using commonsense
- A dialog history, H, is observed
- A **relevant persona** is modeled using a latent discrete random variable  $\mathbf{z}$ ; Given the dialog history H, we **sample** a persona sentence  $C_z$

# Commonsense and Persona Aligned Chatbot (COMPAC)



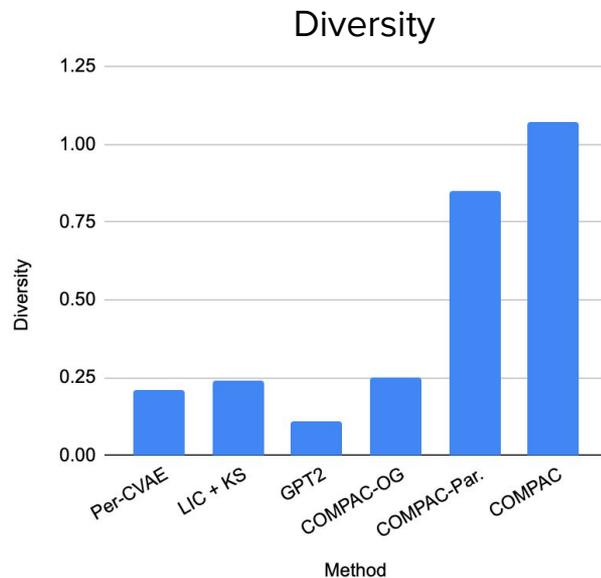
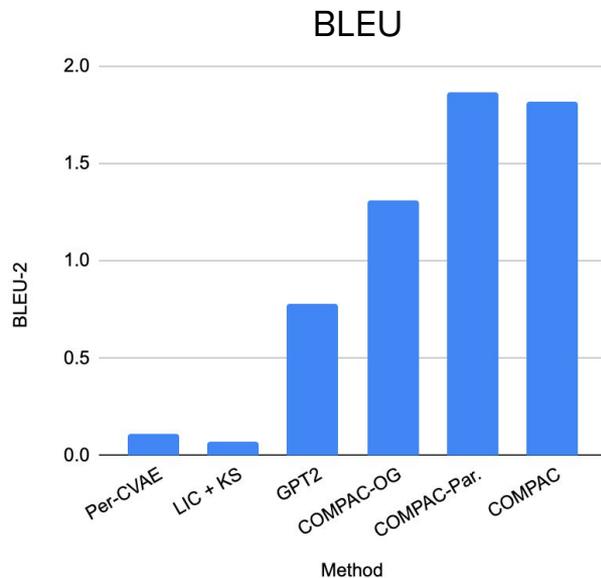
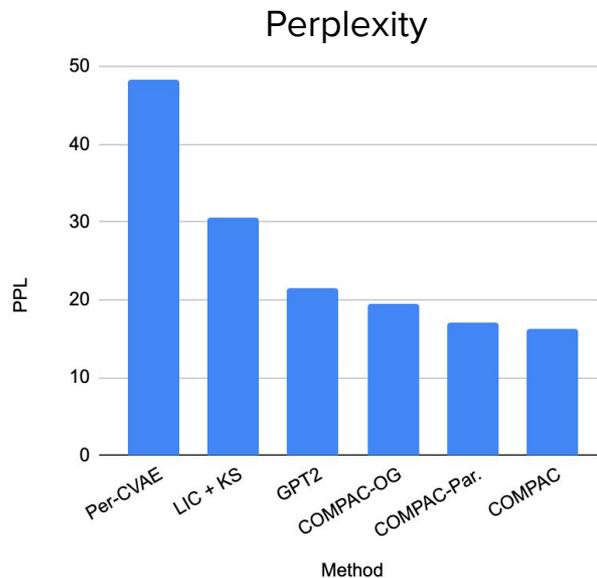
- Expand all persona using commonsense
- A dialog history,  $H$ , is observed
- A relevant persona is modeled using a latent discrete random variable  $z$ ; Given the dialog history  $H$ , we sample a persona sentence  $C_z$
- A **grounded response**,  $x$ , is generated w.r. to  $C_z$  and  $H$

# Commonsense and Persona Aligned Chatbot (COMPAC)



- Expand all persona using commonsense
- A dialog history, H, is observed
- A relevant persona is modeled using a latent discrete random variable z; Given the dialog history H, we sample a persona sentence  $C_z$
- A grounded response, x, is generated w.r. to  $C_z$  and H
- Since space of z is large, we instead optimize a lower bound of log-likelihood using **variational inference**

# Results: Automatic Metrics



COMPAC is highly **fluent**, **faithful** to the ground truth as well as **diverse** as needed

# Results: Human Evaluations

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## Persona:

I enjoy listening to classical music.

I'm a Hindu.

My favorite color is red.

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**User:** Hi, recently I have got interests in religion.

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**GPT2 (2019):** Hi! How are you?

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**COMPAC-original:** I'm a Hindu.

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**COMPAC-revised:** Hi! I am a Hindu too.

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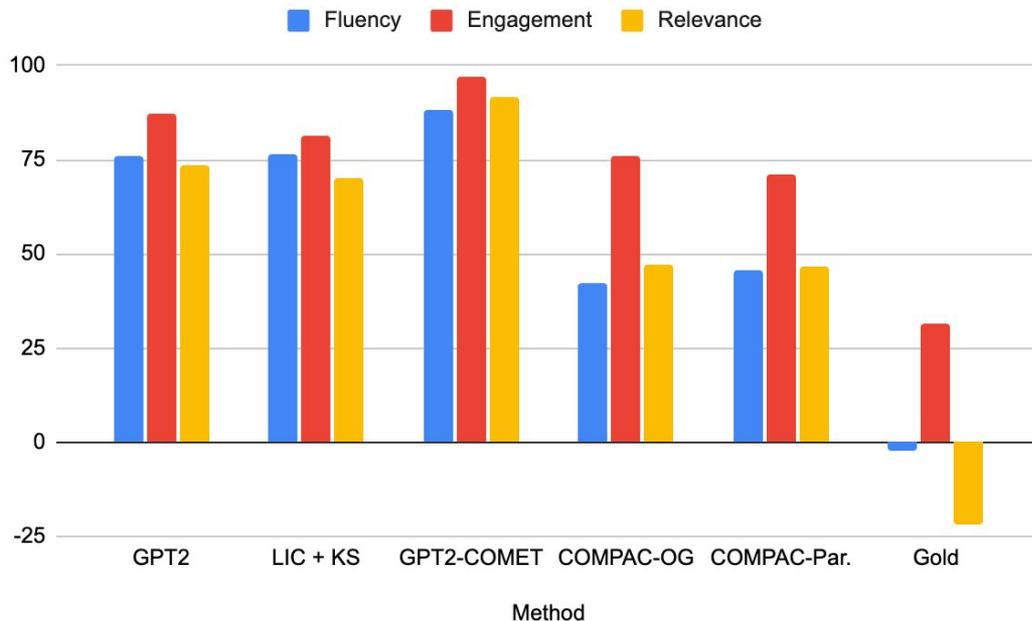
**COMPAC-paraphrase:** That's great. I am religious.

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**COMPAC:** That's great. I go to temple regularly and learn about Hinduism.

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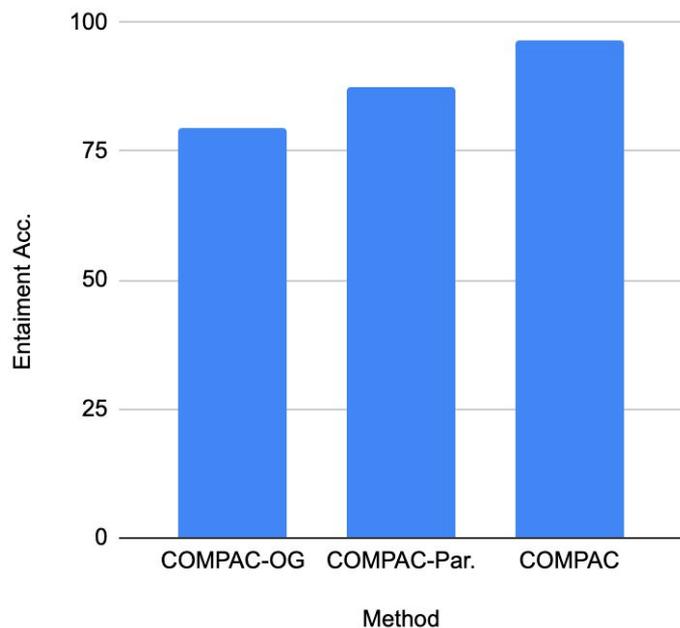
COMPAC is **fluent**, **engaging** and **relevant** when compared to all other baselines

# Results: Error Analysis

Verdict	Persona	Dialog History	Persona Choice ( $z$ )	Response
Uses Original Persona	<ol style="list-style-type: none"> <li>1. I'm the youngest of five.</li> <li>2. I work at the hospital as a nurse.</li> <li>3. I've pink hair.</li> </ol>	What do you do for work?	I work at the hospital as a nurse.	I am a nurse.
Uses Expanded Persona	<ol style="list-style-type: none"> <li>1. I just want to have fun with my friends.</li> <li>2. I don't drink or do drugs or anything.</li> <li>3. I am 19 and I cannot wait to move out of my parents home.</li> </ol>	Are you enjoying life?	I just want to have fun with my friends → wants to have a party	Not really. I want to have a party.
Uses Improper Persona	<ol style="list-style-type: none"> <li>1. I make a million dollars a year.</li> <li>2. I'm married and have three kids.</li> <li>3. I'm a baseball player.</li> </ol>	I find it hard to support my family working at a bar. What about you?	Null persona ( $\emptyset$ )	I enjoy my life.

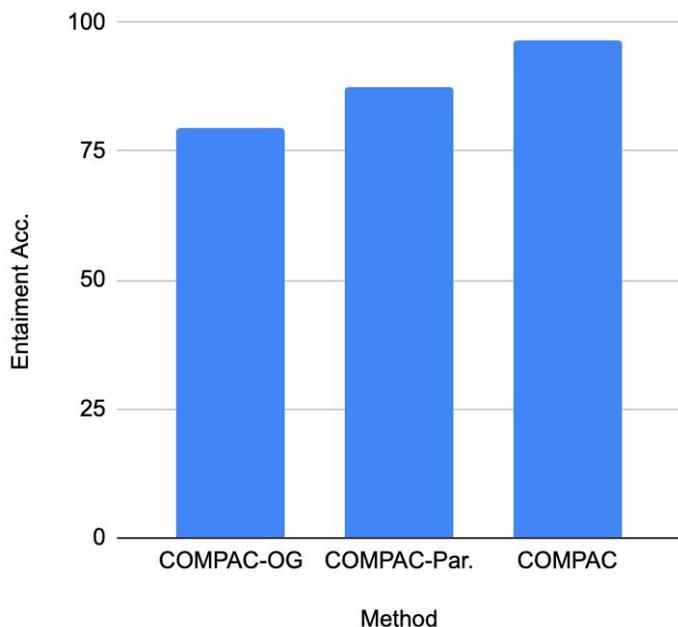
Table 8: Examples showing correct and incorrect persona choices in various dialog contexts by COMPAC model. It shows that COMPAC is capable of choosing a correct persona sentence (original or expanded) but sometimes the prior network fails to sample an appropriate one (third case).

# Results: Interpretability and Controllability



Ground truth obtained  
from DNLi dataset

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Ground truth obtained  
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## Performance

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## Example

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Presence of  
changed entity

**86%**

### Changing the key entity

Before: My favorite color is red

After: My favorite color is **green**

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Conversation:

User: What is your favorite color?

Bot: My favorite color is **green**

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BERT score with  
unedited persona:

46.2

edited persona:

**74.6**

### Swapping with another expansion

Before: I want to swim in the ocean

After: I want to buy a beach **umbrella**

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Conversation:

User: What do you do at beaches?

Bot: I will buy an **umbrella** at the beach

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# Summary

- We showed that expanding persona with commonsense helps a dialog model to generate high-quality and diverse responses
- Fine-grained persona grounding is crucial for interpretability as well as effective grounding
- COMPAC is still limited by the COMET or paraphrase expansions
- As a future work, we could expand the framework to use more than one persona sentences (or expansions), as needed.

**Thanks!**